



GOVERNMENT GAZETTE

OF THE

REPUBLIC OF NAMIBIA

N\$19.60

WINDHOEK - 16 September 2014

No. 5560

CONTENTS

Page

GOVERNMENT NOTICE

No. 163	Regulations relating on implementation of Security Council Decisions: Prevention and Combating of Terrorist and Proliferation Activities Act, 2014	1
---------	--	---

Government Notice

MINISTRY OF SAFETY AND SECURITY

No. 163

2014

REGULATIONS RELATING ON IMPLEMENTATION OF SECURITY COUNCIL DECISIONS: PREVENTION AND COMBATING OF TERRORIST AND PROLIFERATION ACTIVITIES ACT, 2014

Under section 61 of the Prevention and Combating of Terrorist and Proliferation Activities Act, 2014 (Act No. 4 of 2014), and after consulting the Minister of Finance, I make the regulations set out in the Schedule and repeal the regulations published in Government Notice No. 196 of 26 July 2013.

I. NGATJIZEKO

MINISTER OF SAFETY AND SECURITY

Windhoek, 10 September 2014

SCHEDULE

ARRANGEMENT OF REGULATIONS

1. Definitions
2. Publication of sanctions list and issuance of freezing orders in respect of persons or organisations designated by Security Council
3. Freezing of funds and assets
4. Request for delisting by persons or organisations designated by Security Council

5. Communication to Sanctions Committees regarding actions taken in respect of designated or delisted persons or organisations or non-compliance observed
6. Request to release funds or portion of funds frozen on humanitarian grounds
7. Security Council Resolution 1373 (2001): International cooperation, freezing and restraining of funds at request of a third state
8. Prohibition of procuring, supplying, provision, import or export of certain weapons, arms and related materials
9. Proscription of persons and organisations
10. Issuance of freezing orders in respect of funds belonging to or controlled by proscribed persons and organisations
11. Circulation of domestic proscription list
12. Authorisation for rendering of foreign military assistance
13. Offences and penalties

Annexure 1:	Form 1: De-listing application
Annexure 2:	Form 2: De-listing application
Annexure 3:	Form 1: Listing application
Annexure 4:	Form 2: Listing application
Annexure 5:	Form 3: Listing application
Annexure 6:	Form 4: Listing application

Definitions

1. (1) In these regulations, a word or expression defined in the Act has that meaning, and unless the context otherwise indicates -

“Financial Intelligence Act” means the Financial Intelligence Act, 2012 (Act No. 13 of 2012);

“High Court” means the High Court of Namibia as defined in section 1 of the High Court Act, 1990 (Act No. 16 of 1990) and includes any judge of that court;

“Minister” means the Minister responsible for safety and security;

“petitioner” means a requester making an application in terms of these regulations;

“territory” means the territory of the Republic of Namibia described in terms of article 1(4) of the Namibian Constitution;

“the Act” means the Prevention and Combating of Terrorist and Proliferation Activities Act, 2014 (Act No. 4 of 2014); and

“without delay” for the purpose of these regulations means within 48 hours.

Publication of sanctions list and issuance of freezing orders in respect of persons or organisations designated by Security Council

2. Every person, body, authority, or institution referred to in section 24(2) of the Act must inform the Centre within 24 hours of any asset freeze or action taken in terms of a freezing order issued under section 23(1)(b) of the Act.

Freezing of funds and assets

3. (1) Every person and institution referred to in sections 24(2) or 45(5) of the Act who has frozen assets or has taken any action in terms of a freezing order issued under section 23(1)(b) or section 45(1) of the Act may apply -

- (a) to the High Court for the appointment of a *curator bonis* to do, subject to the directions of the High Court, any one or more of the following on behalf of the person against whom the freezing order has been made:
- (i) to assume control over the funds or assets;
 - (ii) to take care of the funds or assets;
 - (iii) to administer the funds or assets and to do any act necessary for that purpose; and
 - (iv) where the funds or assets is a business or undertaking, to carry on, with due regard to any applicable law regarding the business or undertaking; and
- (b) for an order requiring any person holding funds or assets subject to the freezing order to surrender as soon as possible, or within such period as the court may determine, such funds or assets into the custody of the *curator bonis*.

(2) In circumstances where action in terms of subregulation (1) is not necessary, the person or institution referred to in sections 24(2) (a) to (e) or 45(5) of the Act may-

- (a) assume control over the funds or assets;
- (b) take care of the funds or assets;
- (c) administer the funds or assets and to do any act necessary for that purpose; and
- (d) where the funds or assets is a business or undertaking carry on, with due regard to any applicable law, the business or undertaking.

Request for delisting by person or organisation designated by Security Council

4. (1) For purposes of a request to be delisted as provided for in section 29 of the Act, a person or organisation listed pursuant to Security Council Resolutions 1267 (1999) and 1989 (2011), must complete the appropriate delisting application forms set out in Form 1 and the Act and address such request to -

Office of the Ombudsperson
Room DC2-2286
United Nations
New York, NY 10017
United States of America
Tel: Skype +1 212 963 2671
E-mail: ombudsperson@un.org.

(2) A person or organisation applying to be delisted as provided for in section 29 of the Act and listed pursuant to Security Council Resolution 1988 (2011), must complete the appropriate delisting application form set out in Form 2 and address such request to -

Focal Point for Delisting
Security Council Subsidiary Organs Branch
Room DC2 0853B
United Nations
New York, N.Y. 10017
United States of America

Tel. Skype +1 917 367 9448
Fax. +1 212 963 1300
Email: delisting@un.org.

(3) A person or organisation applying to be delisted as provided for in section 29 of the Act after being listed pursuant to any other UNSC Resolution, must follow the delisting procedure as provided for in UNSC Resolution 1730(2006) and address such request to the address provided in regulation (2).

(4) A request for delisting in terms of subregulation (3) must contain the following identification information for the petitioner –

(a) in case of an individual –

- (i) full name of the individual including any middle names or initials, parents and grandparents names as may be applicable, as well as any other names or pseudonyms used by the petitioner;
- (ii) date and place of birth;
- (iii) a nationality or if more than one all must be provided;
- (iv) state of current residence; and
- (v) other information which may help to clarify any issues of identity; or

(b) in the case of an entity -

- (i) full name of the entity including any alternative names used;
- (ii) where applicable the place and date of incorporation or registration;
- (iii) current and any other state of operation; and
- (iv) any other information which may help to clarify issues of identity.

(5) The request in terms of subregulation (3) must include –

- (a) a detailed statement of the reasons or justification for delisting addressing any specific designating criteria set out in the consolidated list entry or in the narrative summary and include any information or suspicion as to the basis for his or her inclusion on the list and any explanations, arguments or submissions relating to the same;
- (b) where available, copies of any documents or other supporting or explanatory material;
- (c) where applicable, a description of any court proceedings or litigation of relevance to the delisting request;
- (d) where applicable, a reference to any previous request for delisting made by the same petitioner through the focal point or any other way; or
- (e) where the request is by a person acting on behalf of a listed person, the request must be accompanied by a document signed by the requester authorising the person to act on his or her behalf.

Communication to Sanctions Committees regarding actions taken in respect of designated or delisted persons or organisations or non-compliance observed

5. For purposes of implementing section 31 of the Act, the Minister responsible for foreign affairs, assisted by the Security Commission, must communicate all actions taken in terms of designated or delisted persons, organisations or countries, through the Minister responsible for foreign affairs within 14 working days of taking such action to the relevant Security Council 1267 (1999), 1989 (2011), 1988 (2011), 1718 (2006), 1737(2006) and 1730(2006) Sanctions Committee.

Request to release funds or portion of funds frozen on humanitarian grounds

6. (1) If considered favourably, the Minister must in terms of section 32(2) of the Act and within a period not exceeding seven days transmit the request for the release of funds or portion of such funds to the relevant Security Council Sanctions Committee.

(2) The Minister must upon receiving a response to a request made in terms of regulation (1) the Minister must, within 14 days after receiving a response from the Security Council, notify the person who made the request of the response so received.

(3) If a request received in terms of section 45(6) of the Act is favourably considered, the Minister must in terms of section 45(7) of the Act and within a period not exceeding seven days, authorise the release of funds or portion of such funds.

Security Council Resolution 1373 (2001): International Cooperation, freezing and restraining of funds at request of a third state

7. (1) A foreign state, pursuant to Security Council Resolution 1373(2001) of the Security Council and section 33(1)(a) of the Act, requesting Namibia to designate a person or organisation or persons or organisations associated with such persons or organisation must, for purposes of section 33(1)(b) of the Act, submit such a request via the Ministry of Foreign Affairs to the Centre for mutual legal assistance within the Ministry of Justice, following the same procedures as is applicable to requests for mutual legal assistance.

(2) The Minister responsible for justice must in terms of section 33(3) of the Act, within 30 days of receiving a request for assistance and cooperation, forward such a request to the Security Commission for its determination.

(3) The Minister responsible for justice may in terms of section 33(4) of the Act, within his or her discretion, request further particulars from the requesting State thirty days after receiving a request for assistance and cooperation.

(4) Every person and institution referred to in Schedules I, II, III and IV of the Financial Intelligence Act, must report to the Centre within a period not exceeding seven days, any assets frozen or actions taken in terms of a freezing order made under section 33 (5)(b) of the Act.

(5) Any person who in terms of section 33(8) of the Act claims to have a *bona fide* right to funds or assets frozen in terms of section 33(1) of the Act may within a period not exceeding 14 days apply in writing to the Minister for the exclusion of his or her interest from the freezing order.

Prohibition of procuring, supplying, provision, import or export of certain weapons, arms and related materials

8. (1) For purposes of notification in terms of section 34(5) of the Act a Customs and Excise officer and any other officer of a competent authority in Namibia must within a period not exceeding seven days after conducting an action in terms of sections 33(3)(a), (b) and (c) of the Act inform the Minister of such action.

(2) The Minister must inform the Security Commission and the relevant Committees of the Security Council through the Minister responsible for foreign affairs within a period not exceeding 14 days, upon being notified of the action taken under section 34 of the Act of any action taken under this section.

Proscription of persons and organisations

9. (1) A person or organisation applying to the Security Commission, in terms of section 44(7) of the Act, for the revocation of an order of proscription made under section 44(1) of the Act must make the application in writing which must -

- (a) contain a detailed statement of the reasons or justification for revocation of the proscription order, addressing any specific proscription criteria set out in the domestic list entry or in the narrative summary and any additional information or suspicion as to the basis for his or her inclusion on the list including any explanation, argument or submission relating to the same;
- (b) be accompanied by copies of any documents or other supporting or explanatory material where available;
- (d) contain a description of any court proceedings or litigation of relevance to the revocation request;
- (e) contain a reference to any previous request for revocation made by the same applicant to the Security Commission; or
- (f) where the request is by a person acting on behalf of a listed person, contain a document signed by the applicant, authorising the person to act on his or her behalf.

Issuance of freezing orders in respect of funds belonging to or controlled by proscribed person and organisation

10. (1) For purposes of reporting in terms of section 45(2) of the Act a person or an institution referred to in Schedules I, II, III or IV of the Financial Intelligence Act must report to the Centre within a period not exceeding seven days of any asset frozen or action taken in terms of a freezing order contemplated in section 45(1) of the Act.

(2) A person who or an organisation which has taken any freezing action under section 45 of the Act must ensure the reasonable preservation of funds and other assets, as provided in regulation 3.

Circulation of domestic proscription list

11. (1) The Security Commission must in terms of section 46(1)(b) of the Act include the following information in a domestic list comprising of persons or organisations proscribed in terms of section 44(2) of the Act:

- (a) for persons or individuals -
 - (i) family name or surname on original document;
 - (ii) given names, other relevant names on original script;
 - (iii) names he or she is also known as;

- (iv) title or designation;
 - (v) date of birth;
 - (vi) place of birth;
 - (vii) nationality, or citizenship;
 - (viii) gender;
 - (ix) employment or occupation;
 - (x) state or states of residence;
 - (xi) passport or travel document and national identification numbers;
 - (xii) current and previous addresses;
 - (xiii) current status before law enforcement authorities such as wanted, detained or convicted;
 - (xiv) location; and
 - (xv) any other relevant information.
- (b) for organisations -
- (i) name;
 - (ii) registered name;
 - (iii) short name or acronym;
 - (iv) any other names by which it is known or was formerly known;
 - (v) address;
 - (vi) headquarters;
 - (vii) branches or subsidiaries;
 - (viii) organizational linkages;
 - (ix) parent company;
 - (x) nature of business or activity;
 - (xi) state of main activity;
 - (xii) leadership or management;
 - (xiii) registration or incorporation or other identification number;
 - (xiv) status such as in liquidation or terminated;

- (xv) website addresses; and
- (xvi) any other relevant information.

(2) The Minister must in terms of section 46(7) of the Act, upon instruction of the Security Commission, circulate the domestic proscription list together with the following information and any request which the Security Commission considers relevant, via the Minister responsible for foreign affairs, to another State requesting such State to take the action as specified in the request and in the Security Council Resolution 1373 (2001) and any of its successor resolutions.

(3) The information referred to in subregulation (1) must contain a statement detailing the facts on which it is reasonably believed that the person or organisation who is associated with such person or organisation, whose funds is to be restrained or who is to be so designated or to whom an arms embargo and travel ban must apply, is engaged in any terrorist activity, or associated with any terrorist activity and the following:

- (a) a statement detailing the basis on which it is believed that the funds to be restrained are the proceeds of unlawful activity, associated with any terrorist activity or instrumental of intended terrorist activities;
- (b) the full particulars of the person or organisation affected;
- (c) sufficient information to establish the identity, location and whereabouts of the person or organisation with reasonable certainty;
- (d) a reference to that person who may have an interest in the funds and the nature of such interest, where known, as well as particulars pertaining to the identity and location of said person or organisation, where known; and
- (e) a statement detailing any criminal proceedings concluded, pending or contemplated against the person in the requesting state.

(4) The Security Commission must in terms of section 46(8) of the Act, where it intends to propose to proscribe a person or organisation to the Security Council 1267(1999) Committee, the 1989(2011) Committee, the 1988(2011) Committee, the 1718(2006) Committee or the 1737(2006) Committee for designation, -

- (a) complete the application form in terms of Form 1 to request the listing of a person or individual under United Nations Security Council 1267(1999) or 1989(2011);
- (b) complete the application form in terms of Form 2 to request the listing of an entity or organisation under United Nations Security Council 1267(1999) or 1989(2011);
- (c) complete the application form in terms of Form 3 to request the listing of a person or individual under United Nations Security Council 1988(2011);
- (d) complete the application form in terms of Form 4 to request the listing of an entity or organisation under United Nations Security Council 1988(2011);
- (e) follow the listing procedure as provided for in the Guideline of the Security Council Committee established pursuant to Resolution 1718(2006); or
- (f) follow the listing procedure as provided for in the Guideline of the Security Council Committee established pursuant to Resolution 1737(2006).

Authorisation for rendering of foreign military assistance

12. (1) A person who makes an application to the Minister in terms of section 49 of the Act must pay an amount of N\$10 million upon lodging such an application.

- (2) An application in terms of section 49(1) of the Act must –
- (a) be made in writing;
 - (b) be addressed to the Minister;
 - (c) contain the particulars of the applicant;
 - (d) contain the underlying reason why such foreign military assistance is sought to be rendered;
 - (e) reflect the person's relevant experience in combat activities;
 - (f) provide detailed particulars of the type of foreign military assistance to be rendered;
 - (g) provide detailed particulars of the beneficiary or recipient of the intended foreign military assistance; and
 - (h) provide detailed explanation on how the applicant will ensure Namibia's political relations are safeguarded and not compromised by the rendering of such foreign military assistance.

Offences and penalties

13. Any person who contravenes these regulations commits an offence and if found guilty is liable to a fine not exceeding N\$50 million or imprisonment for a period not exceeding 15 years or to both such fine and imprisonment.

Annexure 1: Form 1

**Standard form for the submission of requests
to remove a name from the Al-Qaida Sanctions List
maintained by the Security Council Committee pursuant to resolutions 1267 (1999) and
1989 (2011)
concerning Al-Qaida and associated individuals and entities**

(Regulation 4(1))

The use of this form is optional. If used, please complete as many fields as possible.

If you are a representative of a Member State :

Please send the completed form to the Secretariat of the Committee:

- by mail: 1267/1989 Committee Secretariat
Security Council Subsidiary Organs Branch (Room TB-08040C)
United Nations - New York, N.Y. 10017 - USA
- by fax: +1 212 963 1300/3778
- by email: SC-1267-Committee@un.org

***If you are a petitioner (individual, group, undertaking or entity on the
Al-Qaida Sanctions List, or a representative thereof):***

Please refer to the Office of the Ombudsperson for guidance

website: <http://www.un.org/en/sc/ombudsperson/>

The use of this form is not required by the Ombudsperson but may be forwarded to her as follows,

- by mail: Office of the Ombudsperson
Room TB-08041D
United Nations - New York, NY 10017, United States of America
- by fax: +1 212 963 1300/3778
- by email: ombudsperson@un.org
-

The use of this form by no means prejudices the decision of the Committee.

I. FOR AN INDIVIDUAL		
Permanent Reference Number (PRN)		
Full name (in Latin alphabet, as it appears on the List)		
Date of birth	(DD/MM/YYYY)	Day: Month: Year:
Place of birth (city/area/country)		
Male / Female		
Nationality or citizenship(s)	Current	
	Previous (add dates)	
State(s) of residence	Current	
	Previous (add dates)	
Address	Primary address (city/area/country)	
	Other addresses (current/previous)	
Location (if different from address)	Current	
	Other	
Associated Entity (entities) on the Al-Qaida Sanctions List (cf. paragraph 5 of Security Council resolution 1989(2011))		
Please indicate if the individual is deceased <i>Paragraph 31 of Security Council resolution 1989 (2011) encourages States to submit delisting requests for individuals that are officially confirmed to be dead</i>		Yes No If yes, please provide a death certificate or similar official documentation confirming the death, which should include, to the extent possible, the full name, permanent reference number and date of birth of the individual, and the date and place of death, as well as any further information about the circumstances of the death. See also part III of this form.
Other information		

II. FOR A GROUP, UNDERTAKING OR ENTITY		
Permanent Reference Number (PRN)		
Full Name (in Latin alphabet, as it appears on the List)		
Short name / acronym(s)		
Registration and other identification numbers		
Registered Address	Current	
	Previous, if any	
Type of entity		
Location	Current	
	Previous, if any	
Known assets / location of assets / origin of assets		
Please indicate if the entity is reported or confirmed to have ceased to exist <i>Paragraph 31 of Security Council resolution 1989 (2011) encourages States to submit delisting requests for entities reported or confirmed to have ceased to exist</i>		Yes No If yes, please provide official confirmation. See also part III of this form.
Other information		

Annexure 2: Form 2

Standard form for the submission of a request to remove the name of an individual or entity from the List maintained by the Security Council Sanctions Committee established pursuant to resolution 1988 (2011)

(Regulation 4(2))

The use of this form is optional. If used, please complete as many fields as possible.

If you are a representative of a Member State submitting a request for de-listing on behalf of a listed petitioner:

Please send the form to the Secretariat of the Committee:

- by mail: 1988 Committee Secretariat

Security Council Subsidiary Organs Branch (Room TB-08040C)

United Nations - New York, N.Y. 10017 - USA

- by fax: +1 212 963 1300/3778

- by email: SC-1988-Committee@un.org

If you are a petitioner (individual, group, undertaking or entity on the 1988 Sanctions List, or a representative thereof) submitting a request for de-listing directly

Please send the form to:

Focal Point for De-listing

Security Council Subsidiary Organs Branch

Room TB-08041B

United Nations

New York, N.Y. 10017

United States of America

Tel. +1 917 367 9448

Fax. +1 212 963 1300/3778

Email: delisting@un.org

The use of this form by no means prejudices the decision of the 1988 Sanctions Committee.

I.A - IDENTIFYING INFORMATION FOR INDIVIDUALS			
Permanent Reference Number (PRN)			
Full name (as it appears on the List)			
Birth data	Place (locality/area/country):	Day:	Month: Year:
Male / Female			
Nationality or citizenship(s)	Current		
	Previous (add dates)		
State(s) of residence	Current		
	Previous (add dates)		
Address	Primary address (city/area/country)		
	Other addresses (current/previous)		
Location (if different from address)			
Is any entity on the 1988 Sanctions List owned or controlled, directly or indirectly, by, or otherwise supporting, this individual? If so, which one? (please supply PRN)			
Is the individual reconciled? <i>Paragraph 21 (a) of Security Council resolution 1988 (2011) states that delisting requests concerning reconciled individuals should, if possible, include a communication from the High Peace Council through the Government of Afghanistan confirming the reconciled status of the individual according to the reconciliation guidelines, or, in the case of individuals reconciled under the Strengthening Peace Program, documentation attesting to their reconciliation under the previous program.</i>		Yes <input type="checkbox"/> No <input type="checkbox"/> (If yes, please provide the relevant documentation)	
Did the individual hold a position in the Taliban regime prior to 2002? <i>Paragraph 21 (b) of Security Council resolution 1988 (2011) states that delisting requests concerning individuals who formerly held positions in the Taliban regime prior to 2002 who no longer meet the listing criteria outlined in paragraph 3 of the resolution should, if possible, include a communication from the Government of Afghanistan confirming that the individual is not an active supporter of, or participant in, acts that threaten the peace, stability and security of Afghanistan</i>		Yes <input type="checkbox"/> No <input type="checkbox"/> (If yes, please provide details)	
Is the individual dead? <i>Paragraph 21 (c) of Security Council resolution 1988 (2011) states that delisting requests for deceased individuals should include an official statement of death from the state of nationality, residence, or other relevant state</i>		Yes <input type="checkbox"/> No <input type="checkbox"/> (If yes, please provide a death certificate or similar official documentation confirming the death, which should include, to the extent possible, the full name, permanent reference number and date of birth of the individual, and the date and place of death, as well as any further information about the circumstances of the death and see also part III of this form)	
Other supplementary information			

II - IDENTIFYING INFORMATION FOR GROUPS, UNDERTAKINGS AND ENTITIES		
Permanent Reference Number (PRN)		
Full name (as it appears on the List)	Acronym:	
Type of entity		
Registration and other documents or identification numbers if applicable	Registering Government authority	
	Incorporation / Registration location	Country: Province: District:
	Incorporation / establishment date	Day: Month: Year:
	Senior Manager / Owner / Founder / Legal representative / Principal Investor(s) (if applicable)	In original script: Indicate script: If not Latin, name in Latin script:
	Address(es)	
Known assets / location of assets / sources of income		
Is the entity owned or controlled, directly or indirectly, by, or otherwise supporting, any listed individual or other listed entity?	Yes <input type="checkbox"/> No <input type="checkbox"/>	
Has the entity ceased to exist?	Yes <input type="checkbox"/> No <input type="checkbox"/> (If yes, please provide relevant documentation and other evidence)	
Other supplementary information		

III. IDENTIFIED FROZEN ASSETS OF DECEASED INDIVIDUALS AND ENTITIES THAT HAVE CEASED TO EXIST

Section 8 (l) of the 1988 Sanctions Committee Guidelines states that the submitting State or the petitioner should also ascertain and inform the Committee whether or not any legal beneficiary of the deceased's estate or any joint owner of his/her assets is on the 1988 Sanctions List, and to the extent possible, inform the Committee about the names of any individuals or entities who would be in a position to receive any unfrozen assets of a deceased individual or defunct entity

For deceased individuals, is any legal beneficiary of the deceased's estate, or any joint owner of his/her assets, on the 1988 Sanctions List?

Yes No (If yes, please provide additional details, in particular permanent reference number of such beneficiary)

For entities that have ceased to exist, is any legal beneficiary of this entity, or any joint owner of its assets, on the 1988 Sanctions

List?

Yes No (If yes, please provide additional details, in particular permanent reference number of such beneficiary)

IV. PREVIOUS DELISTING REQUESTS (IF KNOWN)

Has there been any previous request for the de-listing of this individual or entity?

Yes No

(If yes, please provide details and date of submission):

V. JUSTIFICATION

As per paragraph 19 of Security Council resolution 1988 (2011) the delisting request should explain how the individual, group, undertaking or entity concerned no longer meets the criteria for listing described in paragraphs 3 and 4 of resolution 1988 (2011). Please indicate what has been done to coordinate this delisting request with the Government of Afghanistan.

VI. SUBMISSION OF DELISTING REQUEST

A request for the de-listing of _____, currently inscribed on the 1988 Sanctions List under permanent reference number _____, is hereby submitted.

(date and signature/official seal)

(position held)

Annexure 3: Form 1

**CONSOLIDATED LIST: STANDARD FORM FOR MEMBER STATE SUBMISSIONS TO THE
COMMITTEE FOR LISTING OF INDIVIDUALS**

(Regulation 11(4)(a))

For additional information on listing and explanatory notes on completing this form see:
www.un.org/sc/committees/1267/listing.shtml

I.A - IDENTIFYING INFORMATION THAT WILL APPEAR ON THE CONSOLIDATED LIST																
Member States are requested to provide the Committee with as much relevant information as possible, in particular sufficient identifying information to allow for the accurate and positive identification of the individual concerned.																
Full name (in Latin alphabet, as to appear on the List)																
Name components (Provide 1 – 8 components. On the columns to the left, please describe each part of the name as first, middle or family name, et cetera.)																
Describe name component																
Name of:																
Other, please describe in writing																
First name																
Middle name																
Last name																
Family name																
Geographical ref.																
Religious title																
Father																
Grandfather																
Great-grandfather																
Mother																
Tribe																
1.																
2.																
3.																
4.																
5.																
6.																
7.																
8.																
Spelling variations or other transliterations if used in official documents																
Original script (as to appear on the List)																
Indicate script: Arabic Chinese Dari Pashtu Russian Urdu Other Which:																
Other script(s) (if used officially)																
Indicate script: Arabic Chinese Dari Pashtu Russian Urdu Other Which:																
Date of birth (DD/MM/YYYY) Additional information																
Day: Month: Year:																
Place of birth (city/area/country)																
Alternative dates or places of birth (please explain)																
Male / Female																
Nationality or citizenship(s)																
Current																
Previous (add dates)																
State(s) of residence																
Current																
Previous (add dates)																

Passport(s), other travel documents and national	Document type (see explanatory notes)	Passport Driver license National identity card Birth certificate Social security card Other Which:
	Document number	
	Name issued to (in original script)	Name: Indicate script: Arabic Chinese Dari Pashtu Russian Urdu Other Which:
	Issued by (authority, city, country)	
	Issue date	Day: Month: Year:
	Expiry date	Day: Month: Year:
	Additional information	

Aliases/ Also-Known-As	If the individual has aliases/also-known-as names, both current and formerly used, please fill in annex B. Provide this information only if the data is sufficient to allow for the accurate and positive identification of the individual concerned; otherwise include this information in section I.B of this form, in fields designated for nicknames and other pseudonyms <u>not</u> sufficient for accurate and positive identification. For definitions please see explanatory notes
-----------------------------------	--

To add more documents, make a copy of and fill in annex A. Please provide a copy of the document if possible.

I.B - ADDITIONAL IDENTIFYING INFORMATION THAT MAY ALSO APPEAR ON THE CONSOLIDATED LIST

Member States are requested to provide the following information in order to facilitate the identification of the individual concerned.

Nicknames, diminutives and other pseudonyms (Not legal names, not sufficient for positive identification.)	Type	Nom de guerre Nickname Adopted name Other which:
	Details (in Latin script)	
	Additional information about this pseudonym	

To add more pseudonyms fill in an additional sheet (annex C)

Title(s)		
Employment / Occupation (please provide dates and nature of employment, in particular regarding positions held in listed entities)		
Marital status		
Address	Primary address	
	Other addresses (current/previous)	
Location (if different from address)	Current	
	Alternative	
Status	Wanted / Subject to arrest warrant	Yes <input type="checkbox"/> No <input type="checkbox"/> Not Known <input type="checkbox"/> (If yes, please explain)
	Detained (please provide date of likely release)	Yes <input type="checkbox"/> No <input type="checkbox"/> Not Known <input type="checkbox"/> (If yes, please explain)

	Convicted / Sentenced (please provide details of sentence)	Yes <input type="checkbox"/> No <input type="checkbox"/> Not Known <input type="checkbox"/> (If yes, please explain)
	Others (please see explanatory notes)	Yes <input type="checkbox"/> No <input type="checkbox"/> Not Known <input type="checkbox"/> (If yes, please explain)
Relevant INTERPOL Notices		Yes <input type="checkbox"/> No <input type="checkbox"/> Not Known <input type="checkbox"/> (If yes, please explain)
Other supplementary information		

Names of parents	Father's name	
	Mother's name	

Residency permits or visas of individual, or similar official documents	Document type	Residency permit Work permit Visa Alien registration card Other Which:
	Document number	
	Issued by (authority, city, country)	
	Issue date	Day: Month: Year:
	Expiry date	Day: Month: Year:
	Additional info	
Other identity documents	Document type	
	Document number	
	Issued by (authority, city, country)	
	Issue date	Day: Month: Year:
	Expiry date	Day: Month: Year:
	Additional info	
Official identity number	Type	Social security number Alien registration number Customer ID number National ID number Employee number Tax ID Other which:
	Number	
	Issued by (authority, city, country)	
	Other details	
	Other numbers (indicate type, number and issuer as above)	

To add more documents, make a copy of and fill in annex A. Please provide a copy of the document if possible

I.C – PHYSICAL DESCRIPTION (these details may be used for an INTERPOL-UNSC Special Notice)			
Height (cm)		Eye color	
Weight (kg)		Hair color	
Build		Complexion	
Tribal / ethnic background			
Photograph, sketch, computer image attached?		Yes <input type="checkbox"/> No <input type="checkbox"/> (If yes, type(s))	
Other biometric identifiers attached? For example fingerprints, DNA code, iris scan or digital facial image		Yes <input type="checkbox"/> No <input type="checkbox"/> (If yes, type(s))	
Distinguishing marks and other physical characteristics			

Languages spoken	
Additional physical characteristics	

I.D – OTHER IDENTIFYING INFORMATION NOT SPECIFIED ABOVE

--

II. BASIS FOR LISTING

Member States are requested to indicate in one or more of the fields below the nature of the association between the individual inscribed in section I.A of this form and Al-Qaida, Usama bin Laden and/or the Taliban as set out in paragraphs 2 and 3 of resolution 1617 (2005) and subsequent resolutions. Full explanations and details of the nature of this association should be given in Part III of this form (Statement of Case). Please include the permanent reference number(s) of those names which the individual is associated with that already appear on the Consolidated List. In the event of the designation of this individual by the Committee, the information provided will be used for the development of the narrative summary of reasons for listing to be published on the Committee's website in accordance with paragraph 14 of Security Council resolution 1904 (2009).

(a) participating in the financing¹, planning, facilitating, preparing, or perpetrating of acts or activities by, in conjunction with, under the name of, on behalf of, or in support of Al-Qaida (AQ), Usama bin Laden (UBL), or the Taliban, or any cell, affiliate, splinter group or derivative thereof.²

· Name(s) and permanent reference number(s) on Consolidated List (if applicable):

(b) supplying, selling or transferring arms and related materiel to AQ, UBL or the Taliban, or any cell, affiliate, splinter group or derivative thereof.²

· Name(s) and permanent reference number(s) on Consolidated List (if applicable):

(c) recruiting for AQ, UBL or the Taliban, or any cell, affiliate, splinter group or derivative thereof.²

· Name(s) and permanent reference number(s) on Consolidated List (if applicable):

(d) otherwise supporting acts or activities of AQ, UBL or the Taliban, or any cell, affiliate, splinter group or derivative thereof.²

· Name and permanent reference number(s) on Consolidated List (if applicable):

(e) other acts and activity indicating association with AQ, UBL or the Taliban, or any cell, affiliate, splinter group or derivative thereof.²

· Name and permanent reference number(s) on Consolidated List (if applicable):

· Briefly state the nature of the association:

(f) entity owned or controlled, directly or indirectly, by, or otherwise supporting, an individual or entity on the Consolidated List.²

· Name(s) and permanent reference number(s) on Consolidated List (if applicable):

¹ The Security Council has noted that such means of financing or support include but are not limited to the use of proceeds derived from illicit cultivation, production and trafficking of narcotic drugs originating particularly in Afghanistan, and their precursors (resolution 1904 (2009), para. 9).

² Resolution 1617 (2005), para. 2.

III. STATEMENT OF CASE

The Statement of Case should provide as much detail as possible on the basis(es) for listing, including: (i) specific information supporting a determination that the individual meets the criteria above; (ii) the nature of the information, for example, intelligence, law enforcement, judicial, media, and admissions by subject; and (iii) additional information or documents provided with the submission. States should include details of any connection between the individual proposed for listing and any currently listed individual or entity.³

In accordance with paragraph 11 of resolution 1904 (2009), the whole statement of case shall be releasable, upon request, except for the parts a Member State identifies as being confidential to the Committee, and may be used to develop the narrative summary of reasons for listing described in paragraph 14 of resolution 1904 (2009).

III.A STATEMENT OF CASE (RELEASABLE UPON REQUEST)**III.B PARTS OF STATEMENT OF CASE IDENTIFIED AS BEING CONFIDENTIAL TO THE COMMITTEE****IV. IDENTITY OF DESIGNATING STATE**

In accordance with paragraph 12 of resolution 1904 (2009) Member States proposing a new designation are encouraged to specify whether the Committee may make known, upon request from a Member State, the Member State's status as a designating State.

Yes No

V. INTERPOL COOPERATION

The Security Council stressed in its resolution 1699 (2006) that its sanctions measures are often implemented under national law, including criminal law where applicable, and that enhanced cooperation between the United Nations and INTERPOL would enhance States' enforcement of those laws. In the same resolution, the Security Council encouraged Member States to use the tools offered by INTERPOL to reinforce the implementation of mandatory measures adopted by the Security Council, particularly the freezing of assets, travel bans, and arms embargoes. In this connection, the Committee regularly requests INTERPOL to issue INTERPOL-United Nations Security Council Special Notices to alert national law enforcement authorities in INTERPOL member countries that designated individuals and entities are subject to Security Council sanctions.

INTERPOL may for implementation purposes wish to contact the relevant authorities in your country, with a view to obtaining additional information on the individual(s)/entity(ies) proposed for designation herewith. For this purpose, please indicate below if the Committee may inform INTERPOL, upon INTERPOL's request, that your country is a **designating State** of the above-mentioned individual(s) (INTERPOL would then contact your country's permanent mission to the United Nations in New York with the relevant inquiries).

Yes No **Comments:**

In addition, please indicate below if the Committee may convey to INTERPOL, upon INTERPOL's request, the details of the point of contact below within your Government (INTERPOL may then contact directly the contact point below with the relevant inquiries).

Yes No **Comments:**

³ Resolution 1735 (2006), para. 5.

VI. POINT OF CONTACT

*The individual(s) below may serve as a point-of-contact for further questions on this submission:
(THIS INFORMATION SHALL REMAIN CONFIDENTIAL)*

<i>Name:</i>	<i>Position/Title:</i>
<i>Contact details:</i>	
<i>Office:</i>	
<i>Address:</i>	
<i>Telephone number:</i>	
<i>Fax number:</i>	
<i>E-mail address:</i>	

ANNEX A – Additional identification documents

Make as many copies of this sheet as needed and fill them in where relevant – other entries may remain blank.

Passport(s), other travel documents and national identification documents	Document type (see explanatory notes)	Passport Driver license Birth certificate Other	National identity card Social security card Which:
	Document number		
	Name issued to (in original script)	Name: Indicate script: Arabic Chinese Dari Pashtu Russian Urdu Other Which:	
	Issued by (authority, city, coun- try)		
	Issue date	Day: Month: Year:	
	Expiry date	Day: Month: Year:	
	Additional information		

Passport(s), other travel documents and national identification documents	Document type (see explanatory notes)	Passport Driver license Birth certificate Other	National identity card Social security card Which:
	Document number		
	Name issued to (in original script)	Name: Indicate script: Arabic Chinese Dari Pashtu Russian Urdu Other Which:	
	Issued by (authority, city, coun- try)		
	Issue date	Day: Month: Year:	
	Expiry date	Day: Month: Year:	
	Additional information		

Residency permits or visas of individual, or other identity documents	Document type	Residency permit Work permit Visa Alien registration card Other Which:
	Document number	
	Issued by (authority, city, coun- try)	
	Issue date	Day: Month: Year:
	Expiry date	Day: Month: Year:
	Additional info	

Other identity documents	Document type	
	Document number	
	Issued by (authority, city, country)	
	Issue date	Day: Month: Year:
	Expiry date	Day: Month: Year:
	Additional info	
Official identity number	Type	Social security number Alien registration number Customer ID number National ID number Employee number Tax ID Other which:
	Number	
	Issued by (authority, city, country)	
	Other details	
Other numbers (indicate type, number and issuer as above)		

ANNEX B – Aliases and Also-Known-As

Include only if data is sufficient to allow for the accurate and positive identification of the individual concerned, otherwise include as nickname, diminutive or other pseudonym in section I.B. of the standard form. Make as many copies of this sheet as needed and fill them in where relevant – other entries may remain blank.

Full name (in Latin alphabet, as to appear on the List)															
Name components (Provide 1 – 8 components. On the columns to the left, please describe each part of the name as first, middle or family name, et cetera.)	Describe name component														
	First name	Middle name	Last name	Family name	Geographical ref.	Religious title	Name of:								
	Father	Grandfather	Great-grandfather	Mother	Tribe										
1.															
2.															
3.															
4.															
5.															
6.															
7.															
8.															
Spelling variations or other transliterations if used in official documents															
Original script (as to appear on the List)	Indicate script: Arabic Chinese Dari Pashtu Russian Urdu Other Which:														

Other script(s) (if used officially)	Indicate script: Arabic Chinese Dari Pashtu Russian Urdu Other Which:	
Date of birth	(DD/MM/YYYY) Additional information	Day: Month: Year:
Place of birth (city/area/country)		
Alternative dates or places of birth (please explain)		
Male / Female		
Nationality or citizenship(s)	Current	
	Previous (add dates)	
State(s) of residence	Current	
	Previous (add dates)	
Any additional information (background on alias)		

ANNEX C – ADDITIONAL NICKNAMES, DIMINUTIVES OR PSEUDONYMS

Include only if data is **not** sufficient for accurate and positive identification of the individual concerned
Make as many copies of this sheet as needed and fill them in where relevant – other entries may remain blank.

Nicknames, diminutives and other pseudonyms (Not legal names, not sufficient for positive identification)	Type	Nom de guerre Nickname Adopted name Other Which:
	Details (in Latin script)	
	Additional information about this pseudonym	

Nicknames, diminutives and other pseudonyms (Not legal names, not sufficient for positive identification)	Type	Nom de guerre Nickname Adopted name Other Which:
	Details (in Latin script)	
	Additional information about this pseudonym	

Nicknames, diminutives and other pseudonyms (Not legal names, not sufficient for positive identification)	Type	Nom de guerre Nickname Adopted name Other Which:
	Details (in Latin script)	
	Additional information about this pseudonym	

Nicknames, diminutives and other pseudonyms (Not legal names, not sufficient for positive identification)	Type	Nom de guerre Nickname Adopted name Other Which:
	Details (in Latin script)	
	Additional information about this pseudonym	

Nicknames, diminutives and other pseudonyms (Not legal names, not sufficient for positive identification)	Type	Nom de guerre Nickname Adopted name Other Which:
	Details (in Latin script)	
	Additional information about this pseudonym	

Nicknames, diminutives and other pseudonyms (Not legal names, not sufficient for positive identification)	Type	Nom de guerre Nickname Adopted name Other Which:
	Details (in Latin script)	
	Additional information about this pseudonym	

Annexure 4: Form 2

CONSOLIDATED LIST: STANDARD FORM FOR MEMBER STATE SUBMISSIONS TO THE COMMITTEE FOR LISTING OF ENTITIES

(Regulation 11(4)(b))

For additional information on listing and explanatory notes on completing this form see:
www.un.org/sc/committees/1267/listing.shtml

I.A - IDENTIFYING INFORMATION THAT WILL APPEAR ON THE CONSOLIDATED LIST

Member States are requested to provide the Committee with as much relevant information as possible, in particular sufficient identifying information to allow for the accurate and positive identification of the entity concerned.

Full Name (in Latin alphabet, as to appear on the List)		
Spelling variations or other transliterations if used in official documents		
Original script (as to appear on the List)	Indicate script: Arabic Chinese Dari Pashtu Russian Urdu Other Which:	
Other script(s) (if used officially)	Indicate script: Arabic Chinese Dari Pashtu Russian Urdu Other Which:	
Short name / acronym(s)		

Alias, also-known-as, formerly-known-as	Details (spelling in Latin)				
	Short Name/Acronym(s)				
	Original script Indicate script	Arabic Chinese Dari Pashtu Russian Urdu Other Which:			
	Type	Previous legal name Formerly-known-as	Previous registered name Also-known-as Other Which:		
	Additional information (dates)				

To add more aliases / AKAs / FKAs, fill in an additional sheet (annex A)

Registration and other identification numbers	Number	
	Type	Business registration number Tax identification number Employer number Social security number Other Which:
	Issuing authority	
	Other numbers (indicate details as above)	
Registered Address	Current	
	Previous, if any	
Date of establishment (DD/MM/YYYY) Additional information	Day Month Year	
Place of establishment		
State(s) of main activity		
Address(es) in state(s) of main activity		
Please state any specific limitations relevant to the sanctions implementation (see explanatory notes)		

I.B - OTHER IDENTIFYING INFORMATION THAT MAY ALSO APPEAR ON THE CONSOLIDATED LIST

Member States are requested to provide the following information in order to facilitate the identification of the entity concerned.

Type of entity		Registered company / business Unregistered company / business Registered non-profit organization Unregistered non-profit organization Registered group or affiliation Unregistered group or affiliation Illegal paramilitary / armed group Criminal group Other Which:
Nature of business or activity		Criminal activity Charity / humanitarian assistance Banking Trust / financing Informal / alternative remittance system Money wire / exchange Political activity Internet / telecommunications business Paramilitary activity Legal estate Media activity – TV/radio/press/Internet Training / recruitment Other Which:
Location	Current	
	Previous (add dates)	
Branches / subsidiaries (use annex B to report details)		
Parent company (use annex B to report details)		
Leadership and management (use annex C to report details)		
Organizational linkages		
Known assets / location of assets / patterns of provision		
Known bank accounts / BIC / SWIFT / IBAN codes (if possible)		

Status	In liquidation / suspended / terminated / operating license withdrawn	Yes <input type="checkbox"/> No <input type="checkbox"/> Not Known <input type="checkbox"/> (If yes, please explain)
	Operating under caretaker or equivalent	Yes <input type="checkbox"/> No <input type="checkbox"/> Not Known <input type="checkbox"/> (If yes, please explain)
	Banned/illegal/ clandestine	Yes <input type="checkbox"/> No <input type="checkbox"/> Not Known <input type="checkbox"/> (If yes, please explain)
	Other	Please explain:
Relevant INTERPOL Notices		Yes <input type="checkbox"/> No <input type="checkbox"/> Not Known <input type="checkbox"/> (If yes, please explain)

I.C – OTHER IDENTIFYING INFORMATION NOT SPECIFIED ABOVE

--

II. BASIS FOR LISTING

Member States are requested to indicate in one or more of the fields below the nature of the association between the entity inscribed in section I.A of this form and Al-Qaida, Usama bin Laden and/or the Taliban as set out in paragraphs 2 and 3 of resolution 1617 (2005) and subsequent resolutions. Full explanations and details of the nature of this association should be given in Part III of this form (Statement of Case). Please include the permanent reference number(s) of those names which the entity is associated with that already appear on the Consolidated List. In the event of the designation of this entity by the Committee, the information provided will be used for the development of the narrative summary of reasons for listing to be published on the Committee's website in accordance with paragraph 14 of Security Council resolution 1904 (2009).

(a) participating in the financing¹, planning, facilitating, preparing, or perpetrating of acts or activities by, in conjunction with, under the name of, on behalf of, or in support of Al-Qaida (AQ), Usama bin Laden (UBL), or the Taliban, or any cell, affiliate, splinter group or derivative thereof.²
· Name(s) and permanent reference number(s) on Consolidated List (if applicable):

(b) supplying, selling or transferring arms and related materiel to AQ, UBL or the Taliban, or any cell, affiliate, splinter group or derivative thereof.²
· Name(s) and permanent reference number(s) on Consolidated List (if applicable):

(c) recruiting for AQ, UBL or the Taliban, or any cell, affiliate, splinter group or derivative thereof.²
· Name(s) and permanent reference number(s) on Consolidated List (if applicable):

(d) otherwise supporting acts or activities of AQ, UBL or the Taliban, or any cell, affiliate, splinter group or derivative thereof.²
· Name and permanent reference number(s) on Consolidated List (if applicable):

(e) other acts and activity indicating association with AQ, UBL or the Taliban, or any cell, affiliate, splinter group or derivative thereof.²
· Name and permanent reference number(s) on Consolidated List (if applicable):
· Briefly state the nature of the association:

(f) entity owned or controlled, directly or indirectly, by, or otherwise supporting, an individual or entity on the Consolidated List.²
· Name(s) and permanent reference number(s) on Consolidated List (if applicable):

III. STATEMENT OF CASE

The Statement of Case should provide as much detail as possible on the basis(es) for listing, including: (i) specific information supporting a determination that the individual meets the criteria above; (ii) the nature of the information, for example, intelligence, law enforcement, judicial, media, and admissions by subject; and (iii) additional information or documents provided with the submission. States should include details of any connection between the individual proposed for listing and any currently listed individual or entity.³

In accordance with paragraph 11 of resolution 1904 (2009), the whole statement of case shall be releasable, upon request, except for the parts a Member State identifies as being confidential to the Committee, and may be used to develop the narrative summary of reasons for listing described in paragraph 14 of resolution 1904 (2009).

III.A STATEMENT OF CASE (RELEASABLE UPON REQUEST)

¹ The Security Council has noted that such means of financing or support include but are not limited to the use of proceeds derived from illicit cultivation, production and trafficking of narcotic drugs originating particularly in Afghanistan, and their precursors (resolution 1904 (2009), para. 9).

² Resolution 1617 (2005), para. 2.

III.B PARTS OF STATEMENT OF CASE IDENTIFIED AS BEING CONFIDENTIAL TO THE COMMITTEE

IV. IDENTITY OF DESIGNATING STATE

In accordance with paragraph 12 of resolution 1904 (2009) Member States proposing a new designation are encouraged to specify whether the Committee may make known, upon request from a Member State, the Member State's status as a designating State.

Yes No

V. INTERPOL COOPERATION

The Security Council stressed in its resolution 1699 (2006) that its sanctions measures are often implemented under national law, including criminal law where applicable, and that enhanced cooperation between the United Nations and INTERPOL would enhance States' enforcement of those laws. In the same resolution, the Security Council encouraged Member States to use the tools offered by INTERPOL to reinforce the implementation of mandatory measures adopted by the Security Council, particularly the freezing of assets, travel bans, and arms embargoes. In this connection, the Committee regularly requests INTERPOL to issue INTERPOL-United Nations Security Council Special Notices to alert national law enforcement authorities in INTERPOL member countries that designated individuals and entities are subject to Security Council sanctions.

INTERPOL may for implementation purposes wish to contact the relevant authorities in your country, with a view to obtaining additional information on the individual(s)/entity(ies) proposed for designation herewith. For this purpose, please indicate below if the Committee may inform INTERPOL, upon INTERPOL's request, that your country is a **designating State** of the above-mentioned individual(s) (INTERPOL would then contact your country's permanent mission to the United Nations in New York with the relevant inquiries).

Yes No **Comments:**

In addition, please indicate below if the Committee may convey to INTERPOL, upon INTERPOL's request, the details of the point of contact below within your Government (INTERPOL may then contact directly the contact point below with the relevant inquiries).

Yes No **Comments:**

VI. POINT OF CONTACT

The individual(s) below may serve as a point-of-contact for further questions on this submission:
(THIS INFORMATION SHALL REMAIN CONFIDENTIAL)

Name:

Position/Title:

Contact details:

Office:

Address:

Telephone number:

Fax number:

E-mail address:

³ Resolution 1735 (2006), para. 5.

ANNEX A – Additional aliases and pseudonyms

Make as many copies of this sheet as needed and fill them in where relevant – other entries may remain blank.

Information provided on this annex may also appear on the Consolidated List. To provide information that may only be released to other Member States or solely for the Committee's information, please use sections III.A and III.B. Furthermore, information in this annex is considered as additional identifiers only and it should not refer to other separate entities (see annex B for related entities).

Alias, also-known-as, formerly- known-as	Details (spelling in Latin)		
	Short Name/Acronym(s)		
	Original script Indicate script		Arabic Chinese Dari Pashtu Russian Urdu Other Which:
	Type Type	Previous legal name Formerly-known-as	Previous registered name Also-known-as Other Which:
	Additional information (dates)		

Alias, also-known-as, formerly- known-as	Details (spelling in Latin)		
	Short Name/Acronym(s)		
	Original script Indicate script		Arabic Chinese Dari Pashtu Russian Urdu Other Which:
	Type Type	Previous legal name Formerly-known-as	Previous registered name Also-known-as Other Which:
	Additional information (dates)		

Alias, also-known-as, formerly- known-as	Details (spelling in Latin)		
	Short Name/Acronym(s)		
	Original script Indicate script		Arabic Chinese Dari Pashtu Russian Urdu Other Which:
	Type Type	Previous legal name Formerly-known-as	Previous registered name Also-known-as Other Which:
	Additional information (dates)		

Alias, also-known-as, formerly- known-as	Details (spelling in Latin)		
	Short Name/Acronym(s)		
	Original script Indicate script		Arabic Chinese Dari Pashtu Russian Urdu Other Which:
	Type Type	Previous legal name Formerly-known-as	Previous registered name Also-known-as Other Which:
	Additional information (dates)		

Alias, also-known-as, formerly- known-as	Details (spelling in Latin)		
	Short Name/Acronym(s)		
	Original script Indicate script		Arabic Chinese Dari Pashtu Russian Urdu Other Which:
	Type Type	Previous legal name Formerly-known-as	Previous registered name Also-known-as Other Which:
	Additional information (dates)		

Alias, also-known-as, formerly- known-as	Details (spelling in Latin)		
	Short Name/Acronym(s)		
	Original script Indicate script		Arabic Chinese Dari Pashtu Russian Urdu Other Which:
	Type Type	Previous legal name Formerly-known-as	Previous registered name Also-known-as Other Which:
	Additional information (dates)		

Alias, also-known-as, formerly- known-as	Details (spelling in Latin)		
	Short Name/Acronym(s)		
	Original script Indicate script		Arabic Chinese Dari Pashtu Russian Urdu Other Which:
	Type Type	Previous legal name Formerly-known-as	Previous registered name Also-known-as Other Which:
	Additional information (dates)		

ANNEX B – Information about related entities

Make as many copies of this sheet as needed and fill them in where relevant – other entries may remain blank.

Information provided on this annex may also appear on the Consolidated List. To provide information that may only be released to other Member States or solely for the Committee's information, please use sections III.A and III.B. Entities referred to in annex B that are not yet included on the Consolidated List will not be considered subject to sanctions unless otherwise stated in the letter of submission, in which case a separate standard form should be filled in for each entity to be subject to sanctions.

RELATED ENTITY (describe type of relation)	Shared ownership Subsidiary Parent company Shared management Successor company / organiza- tion Participation in regional / special network Predecessor company / organization Other Which:	
Permanent reference number (if listed)		
Full Name		
Spelling variations or other transliterations if used in official documents		
Original script (as to appear on the List)	Indicate script: Arabic Chi- nese Dari Pashtu Russian Urdu Other Which:	
Other script(s) (if used officially)	Indicate script: Arabic Chi- nese Dari Pashtu Russian Urdu Other Which:	
Aliases / also-known-as / formerly-known-as		
Short Name/Acronym(s)		

Registration and other identification numbers	Number	
	Type	Business registration number Tax identification number Employer number Social security number Other Which:
	Issuing authority	
	Other numbers (indicate details as above)	
Registered Address Registered Address	Current	
	Previous, if any	
Date of establishment (DD/MM/YYYY) Additional information		Day Month Year
Place of establishment		
Nature of Business or Activity		Criminal activity Charity / humanitarian assistance Banking Trust / financing Informal / alternative remittance system Political activity Internet / telecommunications business Legal estate Media activity – TV/radio/press/Internet Paramilitary activity Training / recruitment Money wire / exchange Other Which:
Type of Entity		Registered company / business Unregistered company / business Registered non-profit organization Unregistered non-profit organization Registered group or affiliation Unregistered group or affiliation Illegal paramilitary / armed group Criminal group Other Which:
State(s) of main activity		
Address(es) in state(s) of main activity		
Other supplementary information		

ANNEX C – Information about leadership and management

Make as many copies of this sheet as needed and fill them in where relevant – other entries may remain blank. Information provided on this annex may also appear on the Consolidated List. To provide information that may only be released to other Member States or solely for the Committee's information, please use sections III.A and III.B. Individuals referred to in annex C that are not yet included on the Consolidated List will not be considered subject to sanctions unless otherwise stated in the letter of submission, in which case a separate standard form (for individuals) should be filled in for each individual to be subject to sanctions.

Manager, member of the leadership or other affiliate of the entity

Full name (in Latin alphabet, as to appear on the List)																	
Name components (Provide 1 – 8 components. On the columns to the left, please describe each part of the name as first, middle or family name, et cetera.)	Describe name component											Name of:		Other, please describe in writing			
	First name	Middle name	Last name	Family name	Geographical ref.	Religious title	Father	Grandfather	Great-grandfather	Mother	Tribe						
1.																	
2.																	
3.																	
4.																	
5.																	
6.																	
7.																	
8.																	
Spelling variations or other transliterations if used in official documents																	
Original script (as to appear on the List)	Indicate script: Arabic Chinese Dari Pashtu Russian Urdu Other Which:																
Other script(s) (if used officially)	Indicate script: Arabic Chinese Dari Pashtu Russian Urdu Other Which:																
Date of birth	(DD/MM/YYYY) Additional information		Day:		Month:		Year:										
Place of birth (city/area/country)																	
Alternative dates or places of birth (please explain)																	
Male / Female																	
Nationality or citizenship(s)	Current																
	Previous (add dates)																
State(s) of residence	Current																
	Previous (add dates)																

Position or role in the entity	Supreme leader Top management Mid-management Lower management Sub-leader Operative Chairman Deputy chairman / leader Sole owner Partner Majority owner Part owner Employee Adviser Trainer Financier Beneficiary Facilitator Recruiter Accountant Techni- cal specialist Other Which:
Any additional information (background on alias)	

Annexure 5: Form 3 (Regulation 11(4)(c))

**STANDARD FORM FOR MEMBER STATE SUBMISSIONS
TO THE 1988 SANCTIONS COMMITTEE FOR LISTING INDIVIDUALS
CONSTITUTING A THREAT TO THE PEACE, STABILITY AND SECURITY OF
AFGHANISTAN**

For additional information on listing and explanatory notes on completing this form see:
www.un.org/sc/committees/1988/index.shtml
or contact the Analytical Support and Sanctions Monitoring Team at 1988mt@un.org

I.A. - IDENTIFYING INFORMATION THAT WILL APPEAR ON THE 1988 SANCTIONS LIST														
Member States are requested to provide the Committee with as much information as possible, in particular sufficient identifying information to allow for the accurate and positive identification of the individual concerned.														
Full name (in Latin script)														
Name components (Please describe each part of the name)	Description of name component													
	Name of:											Other (e.g. mother's name), please describe		
	First name	Father	Grandfather	Great-grandfather	Mother	Tribe	Takhallus (adjective)	Geographical	Middle name	Last name	Religious title			
1.														
2.														
3.														
4.														
5.														
6.														
7.														
8.														
Script status Is this: Original script Transliteration Translation Other, which:														
Listed name in original script (if not Latin script)														
Script														
Birth Data	Place (locality/area/country)						Day:		Month:		Year:			
Alternative birth date¹	Place (locality/area/country)						Day:		Month:		Year:			
Male / Female														
Nationality or citizenship(s)	Current													
	Previous (add dates)													
State(s) of residence	Current													
	Previous (add dates)													

¹ Related to this name. For birth data related to other names (aliases, secondary identities), please fill in annex A. If there is more than one alternative date or place of birth, please provide this information under section I.D

Afghan Tazkira if applicable (please provide scanned copy with the submission)	Document number	
	Issued at	Country: Province: District:
	Record locator	Volume: Page: Registration:
	Issue date	Day: Month: Year:
	Name issued to (in original script)	Name: Father's name: Grandfather's and/or others name:
	Place of birth noted on tazkira	Province: District: Village:
Passport, National ID card (other than Afghan tazkira), residency permit, or similar official document	Document type	
	Document number	
	Issued by	
	Issued at	Country: Province: District:
	Issue date Gregorian Hijri	Day: Month: Year: Original date? Yes No Day: Month: Year: Original date? Yes No
	Expiry date Gregorian Hijri	Day: Month: Year: Original date? Yes No Day: Month: Year: Original date? Yes No
	Issued to	Name: Family/Father's name and others: Indicate script: Latin Dari Pashtu Other, please specify:
	Place and date of birth as documented	Place (city/area/country): Day: Month: Year:
	Nationality in document	
Additional information		

Also-known-as	If the individual has aliases/also-known-as names, both current and previous, please complete annex A.

1.B - OTHER IDENTIFYING INFORMATION THAT MAY APPEAR ON THE 1988 SANCTIONS LIST

Member States are requested to provide the following information to facilitate the identification of the individual concerned.

Title(s)	
Employment / Occupation (please provide dates and nature of employment, in particular regarding positions held in listed groups, undertakings or entities)	
Marital status	
Address	Primary address (city/area/country)
	Other addresses (current/previous)
Location (if different from above)	Current
	Alternative

Status	Wanted / Subject to arrest warrant	Yes <input type="checkbox"/> No <input type="checkbox"/> Not Known <input type="checkbox"/> (If yes, please explain)
	Detained (please provide date of likely release)	Yes <input type="checkbox"/> No <input type="checkbox"/> Not Known <input type="checkbox"/> (If yes, please explain)
	Convicted / Sentenced (please provide details of sentence)	Yes <input type="checkbox"/> No <input type="checkbox"/> Not Known <input type="checkbox"/> (If yes, please explain)
	Others (please see explanatory notes)	Yes <input type="checkbox"/> No <input type="checkbox"/> Not Known <input type="checkbox"/> (If yes, please explain)
Relevant INTERPOL Notices		Yes <input type="checkbox"/> No <input type="checkbox"/> Not Known <input type="checkbox"/> (Details):
Other supplementary information		

I.C – PHYSICAL DESCRIPTION (these details may be used for an INTERPOL-UNSC Special Notice)			
Height (cm)		Eye color	
Weight (kg)		Hair color	
Build		Complexion	
Photograph, sketch, computer image attached?		Yes <input type="checkbox"/> No <input type="checkbox"/> (If yes, type(s))	
Other biometric identifiers attached? For example fingerprints, DNA code, iris scan or digital		Yes <input type="checkbox"/> No <input type="checkbox"/> (If yes, type(s))	

I.C – PHYSICAL DESCRIPTION (these details may be used for an INTERPOL-UNSC Special Notice)	
facial image	
Distinguishing marks and other physical characteristics	
Tribal / ethnic background	
Languages spoken	

I.D – OTHER IDENTIFYING OR ADDITIONAL INFORMATION NOT SPECIFIED ABOVE

Reminder: If the name on an identifying document is different from I.A please provide details of the name structure using annex A. To add particulars from additional documents, make a copy of and fill in annex B. Please provide a copy of the document(s) if possible.

II. BASIS FOR LISTING

Member States are requested to indicate in one or more of the fields below the nature of the association between the individual inscribed in section I.A of this form and those designated individuals inscribed on the 1988 Sanctions List and other individuals, groups, undertakings and entities associated with the Taliban in constituting a threat to the peace, stability and security of Afghanistan as set out in paragraphs 3 and 4 of resolution 1988 (2011). Full explanations and details of the nature of this association should be given in Part III of this form (Statement of Case). Please include the permanent reference number(s) of those names that already appear on the 1988 Sanctions List with which the individual is associated. In the event that the Committee designates this individual, the information provided will be used for the development of the narrative summary of reasons for listing to be published on the Committee's website in accordance with paragraph 13 of Security Council resolution 1988 (2011).

(a) Participating in the financing², planning, facilitating, preparing or perpetrating of acts or activities by, in conjunction with, under the name of, on behalf of, or in support of those designated and other individuals, groups, undertakings and entities associated with the Taliban in constituting a threat to the peace, stability and security of Afghanistan.³

· Name(s) and permanent reference number(s) on 1988 Sanctions List (if applicable):

(b) Supplying, selling or transferring arms and related materiel to those designated and other individuals, groups, undertakings and entities associated with the Taliban in constituting a threat to the peace, stability and security of Afghanistan.²

· Name(s) and permanent reference number(s) on 1988 Sanctions List (if applicable):

(c) Recruiting for those designated and other individuals, groups, undertakings and entities associated with the Taliban in constituting a threat to the peace, stability and security of Afghanistan.²

· Name(s) and permanent reference number(s) on 1988 Sanctions List (if applicable):

(d) Otherwise supporting acts or activities of those designated and other individuals, groups, undertakings and entities associated with the Taliban in constituting a threat to the peace, stability and security of Afghanistan.²

· Name(s) and permanent reference number(s) on 1988 Sanctions List (if applicable):

III. STATEMENT OF CASE

The Statement of Case should provide as much detail as possible on the basis(es) for listing, including: (i) specific information supporting a determination that the individual meets the criteria above; (ii) the nature of the information, for example, intelligence, law enforcement, judicial, or media; and (iii) additional information or documents provided with the submission. States should include details of any connection between the individual proposed for listing and any currently listed individual or entity.⁴

In accordance with paragraph 12 of resolution 1988 (2011), the statement of case shall be releasable, upon request, except for the parts a Member State identifies as being confidential to the Committee. It may also be used to develop the narrative summary of reasons for listing described in paragraph 13 of resolution 1988 (2011)

III.A STATEMENT OF CASE (RELEASABLE UPON REQUEST)

² The Security Council has noted that such means of financing or support include but are not limited to the use of proceeds derived from illicit cultivation, production and trafficking of narcotic drugs and their precursors originating in and transiting through Afghanistan (resolution 1988 (2011), para. 5).

³ Resolution 1988 (2011), paras. 3 and 5.

⁴ Resolution 1988 (2011), paras. 11 and 12.

III.B PARTS OF STATEMENT OF CASE IDENTIFIED AS BEING CONFIDENTIAL TO THE COMMITTEE

--

IV. IDENTITY OF DESIGNATING STATE

Please specify whether the Committee may make known, upon request from another Member State, your status as a designating State for this name.

Yes No

V. INTERPOL COOPERATION

Pursuant to paragraph 11 of resolution 1988 (2011), the Committee may request INTERPOL to issue an INTERPOL-United Nations Security Council Special Notice to alert national law enforcement authorities in INTERPOL member countries that the individual is subject to Security Council sanctions.

INTERPOL may for implementation purposes wish to contact the relevant authorities in your country, with a view to obtaining additional information on the individual proposed for designation herewith. For this purpose, please indicate below if the Committee may inform INTERPOL, upon INTERPOL's request, that your country is a **Designating State** for this name (INTERPOL would then contact your country's permanent mission to the United Nations in New York with relevant inquiries).

Yes No **Comments:**

In addition, please indicate below if the Committee may convey to INTERPOL, upon INTERPOL's request, the details of the point of contact below within your Government (INTERPOL may then communicate directly with the nominated point of contact with relevant inquiries).

Yes No **Comments:**

VI. POINT OF CONTACT

The individual(s) below may serve as a point-of-contact for further questions on this submission:
(THIS INFORMATION SHALL REMAIN CONFIDENTIAL)

Name:

Position/Title:

Contact details:

Office:

Address:

Telephone number:

Fax number:

E-mail address:

ANNEX A – Also-Known-As (AKA)

Make as many copies of this sheet as needed. Please provide one sheet for each alias, also-known-as name or alternative script. This level of detail is necessary because transmitting names by describing their structure is crucial to effective implementation. Fields where no applicable data is available may remain blank.

Type of alias/AKA: Former legal name	A separate identity	Name variation	Spelling variation	Nickname
	Nom-de-guerre	Other, explain:		
Is this alias/AKA derived from, otherwise linked to, or an alternative form of the main name or other alias/AKA: Yes No				
Which name: Please explain link:				
Is this alias/AKA sufficient for positive identification: Yes No Notes: OR is it a ‘weak AKA’ (one that is commonly used but insufficient in itself to identify the person): ⁵ Yes No Notes:				
Any other information that may help explain the nature of this AKA:				

IDENTIFYING INFORMATION THAT WILL APPEAR ON THE 1988 SANCTIONS LIST

Full name (in Latin script)															
Name components (Please describe each part of the name)	Description of name component													Other (e.g. mother’s name), please describe	
	Name of:														
	First name	Father	Grandfather	Great-grandfather	Mother	Tribe	Takhallus(adjective)	Geographical	Middle name	Last name	Religious title				
1.															
2.															
3.															
4.															
5.															
6.															
7.															
8.															
Script status	Is this: Original script Transliteration Translation Other, which:														
Listed name in original script (if not Latin script)															
Script															
Birth Data	Place (locality/area/country)						Day:	Month:	Year:						
Alternative birth data ⁶	Place (locality/area/country)						Day:	Month:	Year:						

⁵ These include nicknames, noms-de-guerre, very common acronyms and names by which the individual refers to himself, or others refer to him. While it is not expected that names in legal documents will be screened against these AKAs, they can yet be useful for identification purposes, particularly in determining whether a possible match triggered by other identifier information is accurate.

⁶ Related to this name. For birth data related to other names than the name specified above under I.A. (aliases, secondary identities), please fill in annex A. If there is more than one alternative date or place of birth, please provide this information under any additional information.

Male / Female	
Any additional information (please provide detailed background on this alias)	

ANNEX B –Identification and other official documents

Afghan Tazkira if applicable (please provide scanned copy with the submission)	Document number							
	Issued at	Province: District: Village/neighborhood:						
	Record locator	Volume: Page: Registration:						
	Issue date	Day: Month: Year:						
	Name issued to (in original script)	Name: Father's name: Grandfather's name:						
	Place of birth noted on tazkira	Province: District: Village:						
Passport, National ID (card other than Afghan tazkira), residency permit, or similar official document	Document type							
	Document number							
	Issued by							
	Issued at	Country: Province: District:						
	Issue date	Gregorian	Day:	Month:	Year:	Original date?	Yes	No
	Hijri		Day:	Month:	Year:	Original date?	Yes	No
	Issue date	Gregorian	Day:	Month:	Year:	Original date?	Yes	No
	Hijri		Day:	Month:	Year:	Original date?	Yes	No
	Expiry date	Gregorian	Day:	Month:	Year:	Original date?	Yes	No
	Hijri		Day:	Month:	Year:	Original date?	Yes	No
	Expiry date	Gregorian	Day:	Month:	Year:	Original date?	Yes	No
	Hijri		Day:	Month:	Year:	Original date?	Yes	No
	Issued to	Name: Family/Father's name and other names: Indicate script: Latin Dari Pashtu Other, which:						
Place and date of birth as documented	Place (city/area/country): Day: Month: Year:							
Nationality in document								
Additional information								

Annexure 6: Form 4 (Regulation 11(4)(d))

**STANDARD FORM FOR MEMBER STATE SUBMISSIONS
TO THE 1988 SANCTIONS COMMITTEE FOR LISTING ENTITIES
CONSTITUTING A THREAT TO THE PEACE, STABILITY AND SECURITY
OF AFGHANISTAN**

For additional information on listing and explanatory notes on completing this form see:

www.un.org/sc/committees/1988/index.shtml

or contact the 1988 Monitoring Team at 1988mt@un.org.

I.A - IDENTIFYING INFORMATION THAT WILL APPEAR ON THE 1988 SANCTIONS LIST

Member States are requested to provide the Committee with as much information as possible, in particular sufficient identifying information to allow for the accurate and positive identification of the entity concerned.

Full name (in Latin script, as to appear on the List)	Acronym:		
Script status	<input type="checkbox"/> Original script <input type="checkbox"/> Transliteration <input type="checkbox"/> Translation <input type="checkbox"/> Other, please specify:		
Name components (Please describe each part of the name in the columns to the right)	General* name component	Legal basis of entity*	Acronym of legal basis*
1.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Listed name in original script (if not Latin script)	Acronym:		
Script			
Type of entity	Registered company / business <input type="checkbox"/> Unregistered company / business <input type="checkbox"/> Registered non-profit organization <input type="checkbox"/> Unregistered non-profit organization <input type="checkbox"/> Registered group or affiliation <input type="checkbox"/> Unregistered group or affiliation <input type="checkbox"/> Illegal paramilitary / armed group <input type="checkbox"/> Criminal group <input type="checkbox"/> Other <input type="checkbox"/>		
Registration details and other information	Please specify: Registering Government authority in Afghanistan (if applicable) Comments:		<input type="checkbox"/> Afghanistan Investment Support <input type="checkbox"/> Agency (AISA) <input type="checkbox"/> Ministry of Commerce <input type="checkbox"/> Ministry of Justice <input type="checkbox"/> Ministry of Economy and Planning <input type="checkbox"/> Other, please specify:
	Incorporation / Registration location		Country: Province: District:
	Date of incorporation / establishment		Day: Month: Year:

* **General name:** Please list each component separately. **Legal basis of entity:** for example "Incorporated". **Acronym of legal basis:** for example "Ltd.".

	Owner / Founder / Legal Representative / Principal Partner(s) / Main Investor(s)•	In original script: Indicate script: If not Latin, name in Latin script:
	Head Office Address(es) with dates	
	Phone or fax numbers or email	
	Additional information, such as registration number, or comments	
State(s) or region of main activity		
Address(es) in State(s) of main activity (if applicable) Branches / subsidiaries (use annex B to report details)		
Parent company (use annex B to report details)		
Leadership and management • (use annex C to report details)		
Organizational linkages		

(use annex B to report details)		
Known assets / location of assets / patterns of provision		
Known bank accounts / BIC / SWIFT / IBAN codes (if possible)		
Relevant INTERPOL Notices		Yes <input type="checkbox"/> No <input type="checkbox"/> Not Known <input type="checkbox"/> (If yes, please explain)
Status	In liquidation / suspended / terminated / operating license withdrawn	Yes <input type="checkbox"/> No <input type="checkbox"/> Not Known <input type="checkbox"/> (If yes, please explain)
	Operating under caretaker or equivalent	Yes <input type="checkbox"/> No <input type="checkbox"/> Not Known <input type="checkbox"/> (If yes, please explain)
	Banned / illegal / clandestine	Yes <input type="checkbox"/> No <input type="checkbox"/> Not Known <input type="checkbox"/> (If yes, please explain)
	Other	Yes <input type="checkbox"/> No <input type="checkbox"/> Not Known <input type="checkbox"/> (If yes, please explain)

Formally-known-as	If the entity has more aliases/previous names (FKA), please fill in annex A.
--------------------------	---

IB – ADDITIONAL IDENTIFYING OR OTHER INFORMATION NOT SPECIFIED ABOVE

•Name(s) entered here will **not** be considered subject to sanctions unless otherwise stated in the letter of submission, in which case separate standard forms for listing individuals should be completed as necessary.

II. BASIS FOR LISTING

Member States are requested to indicate in one or more of the fields below the nature of the association between the entity inscribed in section I.A of this form and those designated individuals inscribed on the 1988 Sanctions List and other individuals, groups, undertakings and entities associated with the Taliban in constituting a threat to the peace, stability and security of Afghanistan as set out in paragraphs 3 and 4 of resolution 1988 (2011). Full explanations and details of the nature of this association should be given in Part III of this form (Statement of Case). Please include the permanent reference number(s) of those names that already appear on the 1988 Sanctions List with which the entity is associated. In the event that the Committee designates this entity, the information provided will be used for the development of the narrative summary of reasons for listing to be published on the Committee's website in accordance with paragraph 13 of Security Council resolution 1988 (2011).

(a) Participating in the financing², planning, facilitating, preparing or perpetrating of acts or activities by, in conjunction with, under the name of, on behalf of, or in support of those designated and other individuals, groups, undertakings and entities associated with the Taliban in constituting a threat to the peace, stability and security of Afghanistan.³

· Name(s) and permanent reference number(s) on 1988 Sanctions List (if applicable):

(b) Supplying, selling or transferring arms and related materiel to those designated and other individuals, groups, undertakings and entities associated with the Taliban in constituting a threat to the peace, stability and security of Afghanistan.²

· Name(s) and permanent reference number(s) on 1988 Sanctions List (if applicable):

(c) Recruiting for those designated and other individuals, groups, undertakings and entities associated with the Taliban in constituting a threat to the peace, stability and security of Afghanistan.²

· Name(s) and permanent reference number(s) on 1988 Sanctions List (if applicable):

(d) Otherwise supporting acts or activities of those designated and other individuals, groups, undertakings and entities associated with the Taliban in constituting a threat to the peace, stability and security of Afghanistan.²

· Name(s) and permanent reference number(s) on 1988 Sanctions List (if applicable):

(e) Undertaking or entity owned or controlled, directly or indirectly by, or otherwise supporting, those designated and other individuals, groups, undertakings and entities associated with the Taliban in constituting a threat to the peace, stability and security of Afghanistan.^{2 and 3}

· Name(s) and permanent reference number(s) on 1988 Sanctions List (if applicable):

III. STATEMENT OF CASE

The Statement of Case should provide as much detail as possible on the basis(es) for listing, including: (i) specific information supporting a determination that the entity meets the criteria above; (ii) the nature of the information, for example, intelligence, law enforcement, judicial, or media; and (iii) additional information or documents provided with the submission. States should include details of any connection between the entity proposed for listing and any currently listed individual or entity.⁴

In accordance with paragraph 12 of resolution 1988 (2011), the statement of case shall be releasable, upon request, except for the parts a Member State identifies as being confidential to the Committee, and may be used to develop the narrative summary of reasons for listing described in paragraph 13 of resolution 1988 (2011).

III.A STATEMENT OF CASE (RELEASABLE UPON REQUEST)

--

III.B PARTS OF STATEMENT OF CASE TO REMAIN CONFIDENTIAL TO THE COMMITTEE**IV. IDENTITY OF DESIGNATING STATE**

Please specify whether the Committee may make known, upon request from another Member State, your Government's status as a designating State.

Yes No

V. INTERPOL COOPERATION

Pursuant to paragraph 11 of resolution 1988 (2011), the Committee may request INTERPOL to issue an INTERPOL-United Nations Security Council Special Notice concerning this entity to alert national law enforcement authorities in INTERPOL member countries that the entity is subject to Security Council sanctions.

INTERPOL may for implementation purposes wish to contact the relevant authorities in your country, with a view to obtaining additional information on the entity proposed for designation herewith. For this purpose, please indicate below if the Committee may inform INTERPOL, upon INTERPOL's request, that your country is a **designating State** for this name (INTERPOL would then contact your country's permanent mission to the United Nations in New York with relevant inquiries).

Yes No **Comments:**

In addition, please indicate below if the Committee may convey to INTERPOL, upon INTERPOL's request, the details of the point of contact below within your Government (INTERPOL may then communicate directly with the nominated point of contact with relevant inquiries).

Yes No **Comments:**

VI. POINT OF CONTACT

The individual(s) below may serve as a point-of-contact for further questions on this submission:
(THIS INFORMATION SHALL REMAIN CONFIDENTIAL)

<i>Name:</i>	<i>Position/Title:</i>
--------------	------------------------

Contact details:

Office:

Address:

Telephone number:

Fax number:

E-mail address:

ANNEX A – Additional or previous names (FKA)

Make as many copies of this sheet as needed. Please provide one sheet for each alternative name or alternative script. This level of detail is necessary because transmitting names by describing their structure is crucial to effective implementation. Fields may remain blank where no applicable data is available.

Type of AKA: <input type="checkbox"/> A separate identity <input type="checkbox"/> Name variation <input type="checkbox"/> Spelling variation <input type="checkbox"/> Former legal name (FKA) <input type="checkbox"/> Other, please specify:			
Is this AKA derived from, otherwise linked to, or an alternative form of the main name or other AKA: Yes <input type="checkbox"/> No <input type="checkbox"/> Which name: Please explain link:			
Is this AKA sufficient for positive identification: Yes <input type="checkbox"/> No <input type="checkbox"/> Notes: OR is it a ‘weak AKA’5 (one that is commonly used but insufficient in itself to identify the entity): Yes <input type="checkbox"/> No <input type="checkbox"/> Notes:			
Any other information that may help to understand the nature of this AKA:			
AKA or FKA	Acronym:		
Script			
Script status	<input type="checkbox"/> Original script <input type="checkbox"/> Transliteration <input type="checkbox"/> Translation <input type="checkbox"/> Other, which:		
Type of name	Previous legal name <input type="checkbox"/> Previous registered name <input type="checkbox"/> Formerly-known-as <input type="checkbox"/> Other <input type="checkbox"/> Please specify:		
Name components (Please describe each part of the name in the columns to the right)	General* name component	Legal basis of entity*	Acronym of legalbasis*
1.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Any additional information (please provide detailed background on this name)			

ANNEX B – Information about related entities

Make copies of this sheet for each related entity as needed and fill them in where relevant. Fields may remain blank where no applicable data is available. Information provided on this annex may also appear on the 1988 Sanctions List. To provide information that may only be released to other Member States or solely for the Committee's information, please use sections III.A and III.B of the standard form. Entities referred to in this annex that do not already appear on the 1988 Sanctions List will not be considered subject to sanctions unless otherwise stated in the letter of submission, in which case a separate standard form should be completed.

RELATED ENTITY (describe type of relation)		Shared ownership Subsidiary Parent company Shared management Successor company / organization Participation in regional / special network Predecessor company / organization Other Please specify:	
Permanent reference number (if listed)			
Full Name			
Type of entity	Registered company / business Registered non-profit organization Registered group or affiliation Illegal paramilitary / armed group Other Please specify:	Unregistered company / business Unregistered non-profit organization Unregistered group or affiliation Criminal group	
Registration details and other information	Registering Government authority in Afghanistan (if applicable) Comments:	<input type="checkbox"/>	Afghanistan Investment Support Agency (AISA)
		<input type="checkbox"/>	Ministry of Commerce Ministry of Justice
		<input type="checkbox"/>	Ministry of Economy and Planning
		<input type="checkbox"/>	Other, which:
	Incorporation / Registration location	Country:	Province: District:
	Incorporation / Foundation date	Day: Month: Year:	
	Senior Manager / Owner / Founder / Legal representative / Investor name (if applicable)	In original script: Indicate script: If not Latin, name in Latin script:	
Address(es) with dates			
Phone or fax numbers or email			
Additional information recorded in the registration document or comments			
State(s) or region of main activity			
Address(es) in State(s) of main activity (if applicable)			
Branches / subsidiaries (use annex B to report details)			
Parent company (use annex B to report details)			
Leadership and management			
Organizational linkages			
Known assets / location of assets / patterns of provision			

Known bank accounts / BIC / SWIFT / IBAN codes (if possible)		
Relevant INTERPOL Notices		Yes <input type="checkbox"/> No <input type="checkbox"/> Not Known <input type="checkbox"/> (If yes, please explain)
Status	In liquidation / suspended / terminated / operating license withdrawn	Yes <input type="checkbox"/> No <input type="checkbox"/> Not Known <input type="checkbox"/> (If yes, please explain)
	Operating under caretaker or equivalent	Yes <input type="checkbox"/> No <input type="checkbox"/> Not Known <input type="checkbox"/> (If yes, please explain)
	Banned/illegal/ clandestine	Yes <input type="checkbox"/> No <input type="checkbox"/> Not Known <input type="checkbox"/> (If yes, please explain)
	Other	Please explain:

ANNEX C – Information about leadership and management

Make copies of this sheet for each related individual as needed and fill them in where relevant. Fields may remain blank where no applicable data is available. Information provided on this annex may also appear on the 1988 Sanctions List. To provide information that may only be released to other Member States or solely for the Committee's information, please use sections III.A and III.B. in the standard form. Individuals referred to in this annex that do not appear on the 1988 Sanctions List will not be considered subject to sanctions unless otherwise stated in the letter of submission, in which case a separate standard form (for individuals) should be completed.

MANAGER, MEMBER OF THE LEADERSHIP OR OTHER AFFILIATE OF THE ENTITY														
Position or role in the entity	e.g. Supreme leader, Top / Mid / Lower management, Operative, Chairman, Sole owner, Partner:													
Any additional information about linkage between this individuals and the entity submitted for listing														
Full name (in Latin script)														
Name components (Please describe each part of the name)	Description of name component													
	Name of:											Other (e.g. mother's name), please describe		
	First name	Father	Grandfather	Great-grandfather	Mother	Tribe	Takhallus(adjunctive)	Geographical	Middle name	Last name	Religious title			
1.														
2.														
3.														
4.														
5.														
6.														
7.														
8.														
Script status	Is this: Original script Transliteration Translation Other, which:													
Listed name in original script (if not Latin script)														
Script														
Birth Data	Place (locality/area/country)						Day:	Month:	Year:					
Alternative birth data⁷	Place (locality/area/country)						Day:	Month:	Year:					
Male / Female														
Nationality or citizenship(s)	Current													
	Previous (add dates)													
State(s) of residence	Current													
	Previous (add dates)													
Afghan Tazkira if applicable (please provide scanned copy with the submission)	Document number													
	Issued at						Country:	Province:	District:					
	Record locator						Volume:	Page:	Registration:					
	Issue date						Day:	Month:	Year:					

	Name issued to (in original script)	Name: Father's name: Grandfather's name:					
	Place of birth noted on tazkira	Province: District: Village:					
Afghan Tazkira if applicable (please provide scanned copy with the submission)	Document number						
	Issued at	Country: Province: District:					
	Record locator	Volume: Page: Registration:					
	Issue date	Day: Month: Year:					
	Name issued to (in original script)	Name: Father's name: Grandfather's name:					
	Place of birth noted on tazkira	Province: District: Village:					
Passport, National ID (card other than Afghan tazkira), residency permit, or similar official document	Document type						
	Document number						
	Issued by						
	Issued at	Country: Province: District:					
	Issue date	Gregorian Hijri	Day: Month: Year:	Original date?	Yes	No	
			Day: Month: Year:	Original date?	Yes	No	
	Expiry date	Gregorian Hijri	Day: Month: Year:	Original date?	Yes	No	
			Day: Month: Year:	Original date?	Yes	No	
	Issued to	Name: Family/Father's name and other names: Indicate script: Latin Dari Pashtu Other, which:					
	Place and date of birth as documented	Place (city/area/country): Day: Month: Year:					
Nationality in document							
Additional information							

OTHER IDENTIFYING OR ADDITIONAL INFORMATION NOT SPECIFIED ABOVE

--